



DEPARTMENT OF THE AIR FORCE
59TH MEDICAL WING (AETC)
JOINT BASE SAN ANTONIO - LACKLAND TEXAS



31 JULY 2017

MEMORANDUM FOR SGVU

ATTN: COL LINDA STEEEL-GOODWIN

FROM: 59 MDW/SGVU

SUBJECT: Professional Presentation Approval

Your paper, entitled Form 3039 CIRT Presentation presented at/published to Clinical Investigation Resident Research Training Course, Gateway, San Antonio TX 78236 (27 Sep 2017) in accordance with MDWI 41-108, has been approved and assigned local file #17300.

Pertinent biographic information (name of author(s) title, etc.) has been entered into our computer file. Please advise us (by phone or mail) that your presentation was given. At that time, we will need the date (month, day and year) along with the location of your presentation. It is important to update this information so that we can provide quality support for you, your department, and the Medical Center commander. This information is used to document the scholarly activities of our professional staff and students, which is an essential component of Wilford Hall Ambulatory Surgical Center (WHASC) internship and residency programs.

Please know that if you are a Graduate Health Sciences Education student and your department has told you they cannot fund your publication, the 59th Clinical Research Division may pay for your basic journal publishing charges (to include costs for tables and black and white photos). We cannot pay for reprints. If you are a 59 MDW staff member, we can forward your request for funds to the designated Wing POC at the Chief Scientist's Office, Ms. Alice Houy, office phone: 210-292-8029; email address: alice.houy.civ@mail.mil.

Congratulations, and thank you for your efforts and time. Your contributions are vital to the medical mission. We look forward to assisting you in your future publication/presentation efforts.

PAUL T. BERNICOTT, GS-15
Deputy Dir, 59th Clinical Research Division

PROCESSING OF PROFESSIONAL MEDICAL RESEARCH/TECHNICAL PUBLICATIONS/PRESENTATIONS

INSTRUCTIONS

USE ONLY THE MOST CURRENT 59 MDW FORM 3039 LOCATED ON AF E-PUBLISHING

1. The author must complete page two of this form:
 - a. In Section 2, add the funding source for your study [e.g., 59 MDW CRD Graduate Health Sciences Education (GHSE) (SG5 O&M); SG5 R&D; Tri-Service Nursing Research Program (TSNRP); Defense Medical Research & Development Program (DMRDP); NIH; Congressionally Directed Medical Research Program (CDMRP) ; Grants; etc.]
 - b. In Section 2, there may be funding available for journal costs, if your department is not paying for figures, tables or photographs for your publication. Please state "YES" or "NO" in Section 2 of the form, if you need publication funding support.
2. Print your name, rank/grade, sign and date the form in the author's signature block or use an electronic signature.
3. Attach a copy of the 59 MDW IRB or IACUC approval letter for the research related study. If this is a technical publication/presentation, state the type (e.g. case report, QA/QI study, program evaluation study, informational report/briefing, etc.) in the "Protocol Title" box.
4. Attach a copy of your abstract, paper, poster and other supporting documentation.
5. Save and forward, via email, the processing form and all supporting documentation to your unit commander, program director or immediate supervisor for review/approval.
6. On page 2, have either your unit commander, program director or immediate supervisor:
 - a. Print their name, rank/grade, title; sign and date the form in the approving authority's signature block or use an electronic signature.
7. Submit your completed form and all supporting documentation to the CRD for processing to:
usaf.jbsa.59-mdw.mbx.wing-crd-publications-and-presentations@mail.mil. This should be accomplished no later than 30 days before final clearance is required to publish/present your materials. If you have any questions or concerns, please contact the 59 CRD/Publications and Presentations Section at 292-7141 for assistance.
8. The 59 CRD/Publications and Presentations Section will route the request form to clinical investigations, 502 ISG/JAC (Ethics Review) and Public Affairs (59 MDW/PA) for review and then forward you a final letter of approval or disapproval.
9. Once your manuscript, poster or presentation has been approved for a one-time public release, you may proceed with your publication or presentation submission activities, as stated on this form. **Note:** For each new release of medical research or technical information as a publication/presentation, a new 59 MDW Form 3039 must be submitted for review and approval.
10. If your manuscript is accepted for scientific publication, please contact the 59 CRD/Publications and Presentations Section at 292-7141. This information is reported to the 59 MDW/CC. All medical research or technical information publications/presentations must be reported to the Defense Technical Information Center (DTIC). See 59 MDW 41-108, *Presentation and Publication of Medical and Technical Papers*, for additional information.
11. The Joint Ethics Regulation (JER) DoD 5500.07-R, *Standards of Conduct*, provides standards of ethical conduct for all DoD personnel and their interactions with other non-DoD entities, organizations, societies, conferences, etc. Part of the Form 3039 review and approval process includes a legal ethics review to address any potential conflicts related to DoD personnel participating in non-DoD sponsored conferences, professional meetings, publication/presentation disclosures to domestic and foreign audiences, DoD personnel accepting non-DoD contributions, awards, honoraria, gifts, etc. The specific circumstances for your presentation will determine whether a legal review is necessary. **If you (as the author) or your supervisor check "NO" in block 17 of the Form 3039, your research or technical documents will not be forwarded to the 502 ISG/JAC legal office for an ethics review.** To assist you in making this decision about whether to request a legal review, the following examples are provided as a guideline:

For presentations before professional societies and like organizations, the 59 MDW Public Affairs Office (PAO) will provide the needed review to ensure proper disclaimers are included and the subject matter of the presentation does not create any cause for DoD concern.

If the sponsor of a conference or meeting is a DoD entity, an ethics review of your presentation is not required, since the DoD entity is responsible to obtain all approvals for the event.

If the sponsor of a conference or meeting is a non-DoD commercial entity or an entity seeking to do business with the government, then your presentation should have an ethics review.

If your travel is being paid for (in whole or in part) by a non-Federal entity (someone other than the government), a legal ethics review is needed. These requests for legal review should come through the 59 MDW Gifts and Grants Office to 502 ISG/JAC.

If you are receiving an honorarium or payment for speaking, a legal ethics review is required.

If you (as the author) or your supervisor check "YES" in block 17 of the Form 3039, your research or technical documents will be forwarded simultaneously to the 502 ISG/JAC legal office and PAO for review to help reduce turn-around time. If you have any questions regarding legal reviews, please contact the legal office at (210) 671-5795/3365, DSN 473.

NOTE: All abstracts, papers, posters, etc., should contain the following disclaimer statement:

"The views expressed are those of the [author(s)] [presenter(s)] and do not reflect the official views or policy of the Department of Defense or its Components"

NOTE: All abstracts, papers, posters, etc., should contain the following disclaimer statement for research involving humans:

"The voluntary, fully informed consent of the subjects used in this research was obtained as required by 32 CFR 219 and DODI 3216.02_AFI 40-402."

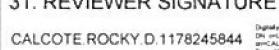
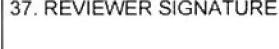
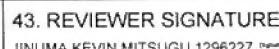
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"The experiments reported herein were conducted according to the principles set forth in the National Institute of Health Publication No. 80-23, Guide for the Care and Use of Laboratory Animals and the Animal Welfare Act of 1966, as amended."

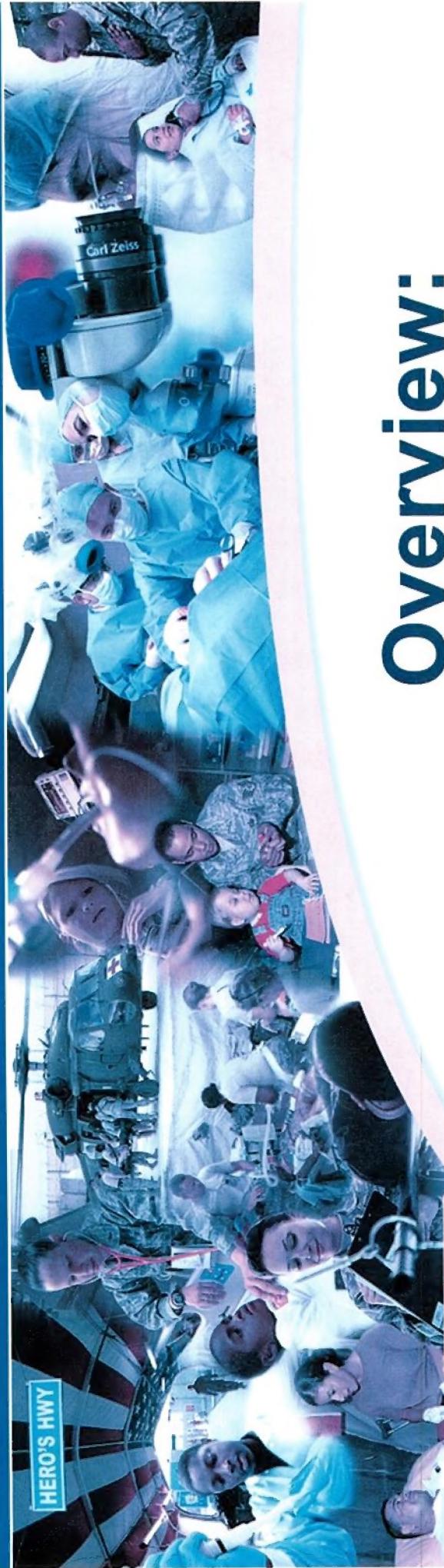
PROCESSING OF PROFESSIONAL MEDICAL RESEARCH/TECHNICAL PUBLICATIONS/PRESENTATIONS			
1. TO: CLINICAL RESEARCH	2. FROM: (Author's Name, Rank, Grade, Office Symbol) LINDA STEEL-GOODWIN, COL 59 MDW/SGVU	3. GME/GHSE STUDENT:	4. PROTOCOL NUMBER: <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO N/A
5. PROTOCOL TITLE: (NOTE: For each new release of medical research or technical information as a publication/presentation, a new 59 MDW Form 3039 must be submitted for review and approval.) N/A			
6. TITLE OF MATERIAL TO BE PUBLISHED OR PRESENTED: FORM 3039 CIRT PRESENTATION			
7. FUNDING RECEIVED FOR THIS STUDY? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO FUNDING SOURCE:			
8. DO YOU NEED FUNDING SUPPORT FOR PUBLICATION PURPOSES: <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			
9. IS THIS MATERIAL CLASSIFIED? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			
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<input checked="" type="checkbox"/> 11e. OTHER (Describe: name of meeting, city, state, and date of meeting.) Clinical Investigation Resident Research Training Course, Gateway, San Antonio TX 78236 (27 Sep 2017)			
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14. 59 MDW PRIMARY POINT OF CONTACT (Last Name, First Name, M.I., email) STEEL-GOODWIN, LINDA linda.steelgoodwin.mil@mail.mil		15. DUTY PHONE/PAGER NUMBER 210 292 7069	
16. AUTHORSHIP AND CO-AUTHOR(S) List in the order they will appear in the manuscript.			
LAST NAME, FIRST NAME AND M.I. a. Primary/Corresponding Author STEEL-GOODWIN, LINDA	GRADE/RANK COL	SQUADRON/GROUP/OFFICE SYMBOL 59 MDW/SGVU	INSTITUTION (If not 59 MDW)
b.			
c.			
d.			
e.			
17. IS A 502 ISG/JAC ETHICS REVIEW REQUIRED (JER DOD 5500.07-R)? <input type="checkbox"/> YES <input type="checkbox"/> NO			
I CERTIFY ANY HUMAN OR ANIMAL RESEARCH RELATED STUDIES WERE APPROVED AND PERFORMED IN STRICT ACCORDANCE WITH 32 CFR 219, AFMAN 40-401_IP, AND 59 MDW 41-108. I HAVE READ THE FINAL VERSION OF THE ATTACHED MATERIAL AND CERTIFY THAT IT IS AN ACCURATE MANUSCRIPT FOR PUBLICATION AND/OR PRESENTATION.			
18. AUTHOR'S PRINTED NAME, RANK, GRADE STEEL-GOODWIN, LINDA		19. 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PROCESSING OF PROFESSIONAL MEDICAL RESEARCH/TECHNICAL PUBLICATIONS/PRESENTATIONS

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TO: Clinical Research Division 59 MDW/CRD Contact 292-7141 for email instructions.	24. DATE RECEIVED July 28, 2017	25. ASSIGNED PROCESSING REQUEST FILE NUMBER 17300
26. DATE REVIEWED 28 Jul 2017	27. DATE FORWARDED TO 502 ISG/JAC	
28. AUTHOR CONTACTED FOR RECOMMENDED OR NECESSARY CHANGES: <input checked="" type="checkbox"/> NO <input type="checkbox"/> YES If yes, give date.		<input type="checkbox"/> N/A
29. COMMENTS <input checked="" type="checkbox"/> APPROVED <input type="checkbox"/> DISAPPROVED The presentation is approved.		
30. PRINTED NAME, RANK/GRADE, TITLE OF REVIEWER Rocky Calcote, PhD, Clinical Research Administrator	31. REVIEWER SIGNATURE 	32. DATE
2nd ENDORSEMENT (502 ISG/JAC Use Only)		
33. DATE RECEIVED	34. DATE FORWARDED TO 59 MDW/PA	
35. COMMENTS <input type="checkbox"/> APPROVED (In compliance with security and policy review directives.) <input type="checkbox"/> DISAPPROVED		
36. PRINTED NAME, RANK/GRADE, TITLE OF REVIEWER	37. REVIEWER SIGNATURE 	38. DATE
3rd ENDORSEMENT (59 MDW/PA Use Only)		
39. DATE RECEIVED July 28, 2017	40. DATE FORWARDED TO 59 MDW/SGVU July 28, 2017	
41. COMMENTS <input checked="" type="checkbox"/> APPROVED (In compliance with security and policy review directives.) <input type="checkbox"/> DISAPPROVED		
42. PRINTED NAME, RANK/GRADE, TITLE OF REVIEWER Kevin Iinuma, SSgt/E-5, 59 MDW Public Affairs	43. REVIEWER SIGNATURE 	44. DATE July 28, 2017
4th ENDORSEMENT (59 MDW/SGVU Use Only)		
45. DATE RECEIVED	46. SENIOR AUTHOR NOTIFIED BY PHONE OF APPROVAL OR DISAPPROVAL <input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> COULD NOT BE REACHED <input type="checkbox"/> LEFT MESSAGE	
47. COMMENTS <input type="checkbox"/> APPROVED <input type="checkbox"/> DISAPPROVED		
48. PRINTED NAME, RANK/GRADE, TITLE OF REVIEWER	49. REVIEWER SIGNATURE	50. DATE

59th Medical Wing



Overview:

59 MDW Form 3039

Col Linda Steel-Goodwin, USAF, BSC
Director, 59 MDW/ST/CRD
27 Sep 2017

Disclaimer: The views expressed are those of the authors and do not reflect official views or policy of the DoD or its Components



59 MDW Form 3039

Overview

Warrior Medics – Mission Ready – Patient Focused

- Used to Request Clearance for Public Release of Medical Research and Technical Publications and Presentations
- Used to Submit Cleared Documents into DTIC
- Identifies Funding Source (59 MDW Chief Scientist Office requirement)
- Identifies Funding Needs for Publication/Presentation
- Form 3039 Consists of:
 - Instructions Page
 - Publication/Presentation Information Page
 - Clearance and Routing Page

59 MDW Form 3039

Overview

Warrior Medics – Mission Ready – Patient Focused



- Submit Package, **No Later Than 30 Days Before Final Clearance is Required**, to: usaf.ibsa.59-mdw.mbx.wing-CRD-publications-and-presentations@mail.mil
- Required Attachments to the Form 3039:
 - An Official Research Determination and Commander's Approval Memo (as applicable)
 - A Copy of the Publication and/or Presentation
- Package is Routed for Review and Clearance Through:
 - 59 MDW Clinical Research Division (59 CRD) for Research Regulatory Review
 - 59 MDW Public Affairs Office for Security Review
 - 502 ISG/JAC Judge Advocates Office for Ethics/Legal Review, As Needed
 - 59 CRD for Final Approval From Director

Public Affairs Clearance



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- If an author submits a 59 MDW Form 3039 request, in which the author has already presented their information to the public **without** official clearance for public release, 59 MDW Public Affairs Office (59 MDW/PA) will conduct their security and policy review and, if no discrepancies have been identified, will respond with “*No security discrepancies were found*” and inform the author “*The publication or presentation is NOT officially cleared for public release.*”
- The 59 MDW/CRD clearance notification will state the above.



502 ISG/JAC Clearance



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- The 502 ISG/JAC Will Provide a Legal Ethics Review to Address Any Potential Conflicts Related to DoD Personnel Participating in:
 - Non-DoD Sponsored Conferences
 - Professional Meetings
 - Publication/Presentation Disclosures to Domestic and Foreign Audiences
 - DoD Personnel Accepting Non-DoD Contributions, Awards, Honoraria, Gifts, etc.
- 502 ISG/JAC Will Conduct an Ethics Review if an Author Requests It:
 - Specific Circumstances for an Author's Presentation Will Determine Whether a Legal Review is Necessary
 - If an Author or an Author's Supervisor Checks "YES" in Block 17 of the 59 MDW Form 3039, the Author's Research or Technical Documents Will Be Forwarded Simultaneously to the 502 ISG/JAC Legal Office and 59 MDW/PA for Review to Help Reduce Turn-Around Time
 - If "NO" is Checked in Block 17, an Author's Research or Technical Documents Will Not Be Forwarded to the 502 ISG/JAC Legal Office for an Ethics Review

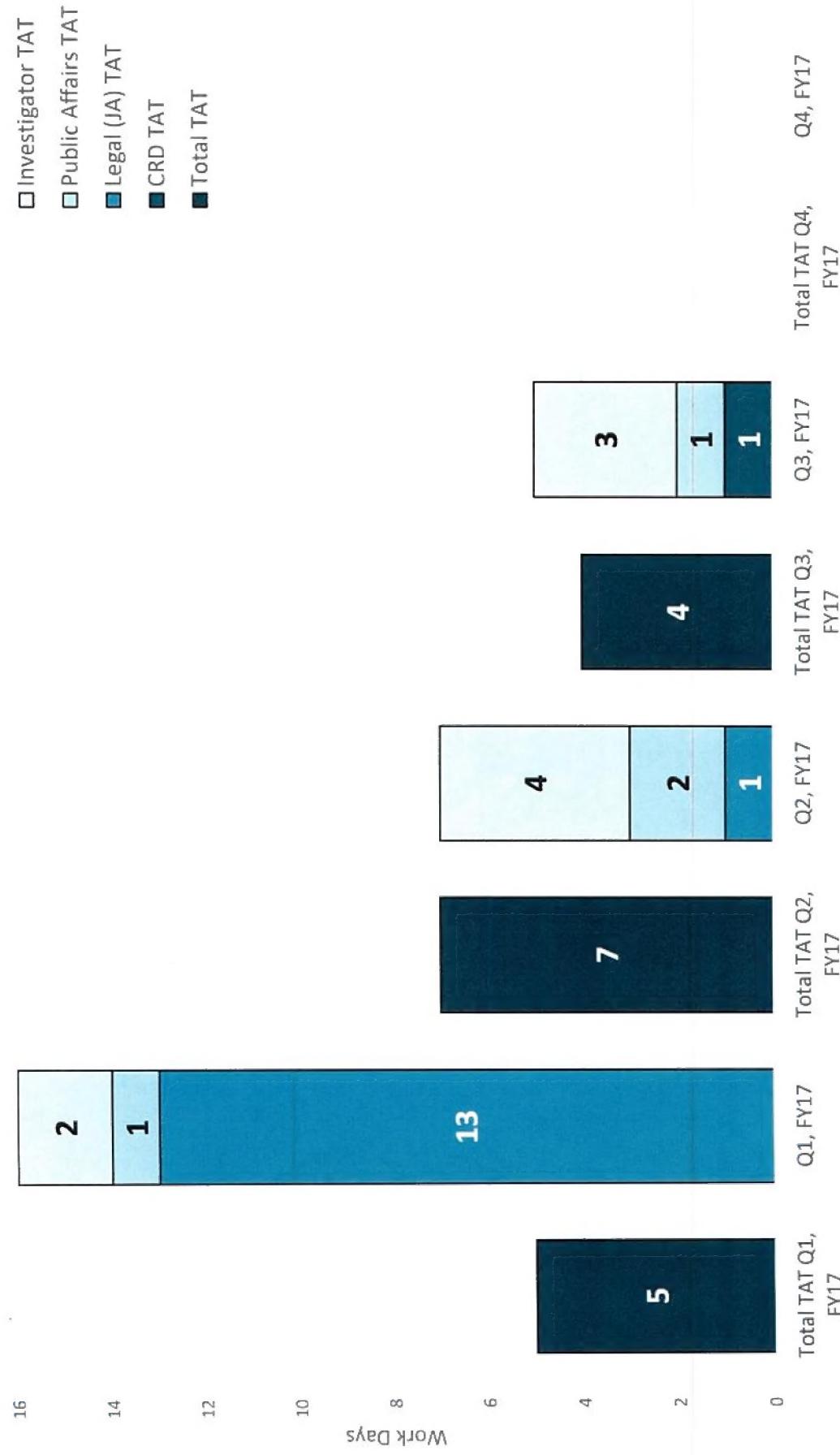




FY17 Pubs and Pres Median Turn-Around Time Metrics

Warrior Medics – Mission Ready – Patient Focused

59 MDW Form 3039 Median Turn-Around Time Comparison
Q1 - Q4, FY2017 (in Days)



Research Determination



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Only AFMSA/SGE-C, an IRB, or an Exempt Determination Official (EDO) May Determine What is Human Research/Non-Research

For Non-Research & Quality Improvement Projects Conducted by 59 MDW Personnel:

1. Complete a *Request for Research and Human Subjects Determination Form*
2. Submit Form to 59 MDW Office of Research Protocol Support at usaf.ibsa.59-mdw.mbx.wing-crd-protocol@mail.mil
3. An IRB Designated Reviewer Provides a Research Determination
4. If Determined “Non-Research”, Investigator May Begin Project
5. If Determined “Non-Exempt/Exempt Research”, Follow Steps Below



Research Determination



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For Non-Exempt/Exempt Research Conducted by 59 MDW Personnel:

1. Consult HRPP OI-009, Preparation of a Non-Exempt Human Use Protocol
2. Consult HRPP OI-010, Exempt Research
3. Complete Applicable Application Forms Listed on Human or Exempt Application Checklists
4. Submit Application Package to Office of Research Protocol Support
5. Package Undergoes IRB Pre-Review; Problems Addressed Before Forwarding to IRB Designated Reviewer and/or IRB for Review/Approval
6. A Notice of Action Letter is Sent to the Investigator Notifying Them of Their Research Approval Status and Next Steps



59 MDW Pubs & Pres Clearance

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For Non-Exempt/Exempt Research & Non-Research Projects Conducted by 59 MDW Personnel:

1. PRIOR to the Start of the Project, Obtain a Research Determination from a 59 MDW or BAMC IRB Designated Reviewer
2. If Determined “Non-Research” (e.g., QA/QI Project, Case Study, etc.), Conduct Project
3. If Determined “Non-Exempt/Exempt Research”, Follow Local IRB Guidance to Obtain Approval to Conduct Research
4. When Ready to Publish/Present Findings, Complete a 59 MDW Form 3039

59 MDW Pubs & Pres Clearance

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5. If Activity Conducted Mainly at 59 MDW, Submit Form 3039, Pubs/Pres Materials, & Determination Letter to the 59 CRD at usaf.ibsa.59-mdw.mbx.wing-CRD-publications-and-presentations@mail.mil
6. If Activity Conducted Elsewhere (e.g., BAMC), Submit Form 3039, Pubs/Pres Materials, & Determination Letter Through the BAMC Clearance Process
7. Submitted Package Undergoes an IRB Regulatory Compliance Review, an Ethics Review (As Needed), & a Public Affairs Review
8. If Package was Cleared Elsewhere (e.g., BAMC), Investigator Forwards a Completed 3039 for DTIC Submission Only with Cleared Package and BAMC Review Notifications to the 59 CRD
9. 59 CRD Sends Formal Notification of 59 MDW Approval to Publish/Present to Investigator

59 MDW Pubs & Pres Clearance

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**For 59 MDW Personnel That Did Not Obtain a Research Determination
Prior to Conduct:**

If Activity Conducted Mainly at 59 MDW:

1. Submit 59 MDW Form 3039 & Pubs/Pres Materials to 59 CRD
2. A 59 MDW IRB Designated Reviewer Provides a Research Determination
3. If Determined “Non-Research” (e.g., QA/QI Project, Case Study, etc.), Package Proceeds Normally Through Clearance
4. If Determined “Research”, the Investigator, SAUSHEC Dean, and 59 MDW Command Will Be Notified and a Discussion Will Occur to Determine the Courses of Action



59 MDW Pubs & Pres Clearance

Warrior Medics – Mission Ready – Patient Focused



**For 59 MDW Personnel That Did Not Obtain a Research Determination
Prior to Conduct:**

If Activity Conducted Elsewhere (e.g., BAMC):

1. Submit 59 MDW Form 3039 & Pubs/Pres Materials to the BAMC IRB
2. A BAMC IRB Designated Reviewer Will Provide a Research Determination
3. If Determined “Non-Research” (e.g., QA/QI Project, Case Study, etc.),
Package Will Proceed Normally Through Clearance
4. If Determined “Research”, the Investigator, SAUSHEC Dean, and BAMC
Command Will Be Notified and a Discussion Will Occur to Determine the
Courses of Action



Questions



Warrior Medics – Mission Ready – Patient Focused

Publications and Presentations

Ms. Clarice Longoria – 292-7141
clarice.g.longoria.ctr@mail.mil

Support Branch Chief

Ms. Rachel Montez – 292-4683
rachel.a.montez.civ@mail.mil

Human Research Determination Questions

Dr. Rocky Calcote – 292-5203
rocky.d.calcote.civ@mail.mil

Concerns

Director – Col Steel-Goodwin – 292-7068/7069
linda.steelgoodwin.mil@mail.mil

away . . .

Your feedback is important to us. Please complete our Satisfaction Survey.
<https://www.surveymonkey.com/r/CRDSatisfaction>

Form 3039



Warrior Medics – Mission Ready – Patient Focused

PROCESSING OF PROFESSIONAL MEDICAL RESEARCH/TECHNICAL PUBLICATIONS/PRESENTATIONS

INSTRUCTIONS

USE ONLY THE MOST CURRENT 59 MDW FORM 3039 LOCATED ON AF E-PUBLISHING

1. The author must complete page two of this form:
 - a. In Section 3 add the funding source for your study [e.g., 59 MDW CRD Graduate Health Sciences Education (GHSE) (SG5 O&M); SG5 R&D; Tri-Service Nursing Research Program (TSNRP); Defense Medical Research & Development Program (DMRDP); NIH: Congressionally Directed Medical Research Program (CDMRP); Grants, etc.]
 - b. In Section 3 there may be funding available for journal costs, if your department is not paying for figures, tables or photographs for your publication. Please state "YES" or "NO" in Section 8 of the form, if you need publication funding support.
 2. Print your name, rank/grade, sign and date the form in the author's signature block or use an electronic signature.
 3. Attach a copy of the 59 MDW IRB or IACUC approval letter for the research related study. If this is a technical publication/presentation, state the type (e.g. case report, Q&QI study, program evaluation study, informational report/briefing, etc.) in the "Protocol Title" box. **[NOTE: an official research determination must be obtained prior to the implementation of any project activity that plans to, or may eventually be, published or presented publicly. Any publication clearance request that does not include an official research determination (e.g., letter or email from an IRB, AFMSA/SGE-C, or other designated reviewer) made prior to project implementation, will be disapproved.]**
 4. Attach a copy of your abstract, paper, poster and other supporting documentation.
 5. Save and forward, via email, the processing form and all supporting documentation to your unit commander, program director or immediate supervisor for review/approval.
 6. On page 2, have either your unit commander, program director or immediate supervisor: a.) Print their name, rank/grade, title, b.) sign and date the form in the approving authority's signature block or use an electronic signature.
 7. Submit your completed form and all supporting documentation to the CRD for processing (usaf.ipbsa.59-mdw.mbx.wing-ord-publications-and-presentations@mail.mil). **This should be accomplished no later than 30 days before final clearance is required to publish/present your materials. Information for foreign clearance may take 60-90 days to complete. If you have any questions or concerns, please contact the 59 CRD/Publications and Presentations Section at 292-7141 for assistance.**
 8. The 59 CRD/Publications and Presentations Section will route the request form to clinical investigations 502 (SGUJAC (Ethics Review) and Public Affairs (59 MDW/PA) for review and then forward you a final letter of approval or disapproval.
 9. Once your manuscript, poster or presentation has been approved for a one-time public release, you may proceed with your publication or presentation submission activities, as stated on this form. **Note: For each new release of medical research or technical information as a publication/presentation, a new 59 MDW Form 3039 must be submitted for review and approval.**
 10. If your manuscript is accepted for scientific publication, please contact the 59 CRD/Publications and Presentations Section at 292-7141. This information is reported to the 59 MDW/ICC. All medical research or technical information publications/presentations must be reported to the Defense Technical Information Center (DTIC). See 59 MDW 41-108, *Presentation and Publication of Medical and Technical Papers*, for additional information

Form 3039



Warrior Medics – Mission Ready – Patient Focused

11 The Joint Ethics Regulation (JER) DoD 5500.07-R, Standards of Conduct, provides standards of ethical conduct for all DoD personnel and their interactions with other non-DoD entities, organizations, societies, conferences, etc. Part of the Form 3039 review and approval process includes a legal ethics review to address any potential conflicts related to DoD personnel participating in non-DoD sponsored conferences, professional meetings, publications/presentation disclosures to domestic and foreign audiences, awards, honoraria, gifts, etc. The specific circumstances for your presentation will determine whether a legal review is necessary. If you (as the author) or your supervisor check "YES" in block 17 of the Form 3039, your research or technical documents will be forwarded simultaneously to the 502 ISG/JAC legal office and PAO for review to help reduce turn-around time. If you check "NO" in block 17, your research or technical documents will not be forwarded to the 502 ISG/JAC legal office for an ethics review. To make this determination, the following examples are provided as a guideline:

For presentations before professional societies and like organizations, the 59 MDW Public Affairs Office (PAO) will provide the needed review to ensure proper disclaimers are included and the subject matter of the presentation does not create any cause for DoD concern.

If the sponsor of a conference or meeting is a DoD entity, an ethics review of your presentation is not required, since the DoD entity is responsible to obtain all approvals for the event.

If your travel is being paid for (in whole or in part) by a non-Federal entity (someone other than the government), a legal ethics review is needed. These requests for legal review should come through the 59 MDW Gifts and Grants Office to 502 ISG/JAC.

If you are receiving an honorarium or payment for speaking, a legal ethics review is required

If you have any questions regarding legal reviews, please contact the legal office at (210) 671-5795/3365, DSN 473.

NOTE: All abstracts, papers, posters, etc., should contain the following disclaimer statement:

"The views expressed are those of the [author(s)] [presenter(s)] and do not reflect the official views or policy of the Department of Defense or its Components"

NOTE: All abstracts, papers, posters, etc., should contain the following disclaimer statement for research involving humans:

"The voluntary, fully informed consent of the subjects used in this research was obtained as required by 32 CFR 219 and DoD 3216.02_AFI 40-402."

NOTE: All abstracts, papers, posters, etc., should contain the following disclaimer statement for research involving animals, as required by AFMAN 40-401 JP:

"The experiments reported herein were conducted according to the principles set forth in the National Institute of Health Publication No. 80-23, Guide for the Care and Use of Laboratory Animals and the Animal Welfare Act of 1966, as amended."

59 MDW FORM 3039 (DATE)
Prescribed by 59 MDW 41-108

PREVIOUS EDITIONS ARE OBSOLETE

Page 1 of 3 Pages

Form 3039



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PROCESSING OF PROFESSIONAL MEDICAL RESEARCH/TECHNICAL PUBLICATIONS/PRESENTATIONS

1. TO: CLINICAL RESEARCH	2. FROM: (Author's Name, Rank, Grade, Office Symbol)	3. GME/GHSE STUDENT:	4. PROTOCOL NUMBER
		<input type="checkbox"/> YES	<input type="checkbox"/> NO
5. PROTOCOL TITLE: (NOTE: For each new release of research or technical information as a publication/presentation, a 59 MDW Form 3039 must be submitted.)			
6. TITLE OF MATERIAL TO BE PUBLISHED OR PRESENTED:			
7. PROJECT DETERMINATION DATE:	PROJECT START DATE:		
8. FUNDING RECEIVED FOR THIS STUDY?	<input type="checkbox"/> YES	<input type="checkbox"/> NO	FUNDING SOURCE:
9. DO YOU NEED FUNDING SUPPORT FOR PUBLICATION PURPOSES?	<input type="checkbox"/> YES <input type="checkbox"/> NO		
10. IS THIS MATERIAL CLASSIFIED?	<input type="checkbox"/> YES	<input type="checkbox"/> NO	
11. IS THIS MATERIAL SUBJECT TO ANY LEGAL RESTRICTIONS FOR PUBLICATION OR PRESENTATION THROUGH A COLLABORATIVE RESEARCH AND DEVELOPMENT AGREEMENT (CRADA), MATERIAL TRANSFER AGREEMENT (MTA), INTELLECTUAL PROPERTY RIGHTS AGREEMENT ETC.? <input type="checkbox"/> YES <input type="checkbox"/> NO NOTE: If the answer is YES then attach a copy of the Agreement to the Publications/Presentations Request Form.			
12. MATERIAL IS FOR <input type="checkbox"/> DOMESTIC RELEASE <input type="checkbox"/> FOREIGN RELEASE CHECK APPROPRIATE BOX OR BOXES FOR APPROVAL WITH THIS REQUEST. ATTACH COPY OF MATERIAL TO BE PUBLISHED/PRESENTED			
12a. PUBLICATION/JOURNAL (List intended publication/journal)			
12b. PUBLISHED ABSTRACT (List intended journal.)			
12c. POSTER (To be demonstrated at meeting: name of meeting, city, state and date of meeting.)			
12d. PLATFORM PRESENTATION (At civilian institutions: name of meeting, state, and date of meeting.)			
12e. OTHER (Describe: name of meeting, city, state, and date of meeting.)			

Form 3039



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13. HAVE YOUR ATTACHED RESEARCH/TECHNICAL MATERIALS BEEN PREVIOUSLY APPROVED TO BE PUBLISHED/PRESENTED? <input type="checkbox"/> YES <input type="checkbox"/> NO ASSIGNED FILE #: _____ DATE: _____			
14. EXPECTED DATE WHEN YOU WILL NEED THE CRD TO SUBMIT YOUR CLEARED PUBLICATION/PRESENTATION TO DTIC? NOTE: All publications/presentations are required to be placed in the Defense Technical Information Center (DTIC). DATE: _____			
15. 59 MDW PRIMARY POINT OF CONTACT (Last Name, First Name, M.I., email) _____			
16. DUTY PHONE/PAGER NUMBER _____			
17. AUTHORSHIP AND CO-AUTHOR(S) List in the order they will appear in the manuscript.			
LAST NAME, FIRST NAME AND M.I.	GRADE/RANK	SQUADRON/GROUP/OFFICE SYMBOL	INSTITUTION (if not 59 MDW)
a. Primary/Corresponding Author	_____	_____	_____
b.	_____	_____	_____
c.	_____	_____	_____
d.	_____	_____	_____
e.	_____	_____	_____
18. IS A 5021 SGUJAC ETHICS REVIEW REQUIRED (ER DOD 5500.07-R)? <input type="checkbox"/> YES <input type="checkbox"/> NO			
I CERTIFY ANY HUMAN OR ANIMAL RESEARCH RELATED STUDIES WERE APPROVED AND PERFORMED IN STRICT ACCORDANCE WITH 32 CFR 219 AFMAN 40-401, IP, AND 59 MDW 41-108. I HAVE READ THE FINAL VERSION OF THE ATTACHED MATERIAL AND CERTIFY THAT IT IS AN ACCURATE MANUSCRIPT FOR PUBLICATION AND/OR PRESENTATION.			
19. AUTHOR'S PRINTED NAME, RANK, GRADE _____			
20. AUTHOR'S SIGNATURE _____			
21. DATE _____			
22. APPROVING AUTHORITY'S PRINTED NAME, RANK, TITLE _____			
23. APPROVING AUTHORITY'S SIGNATURE _____			
24. DATE _____			

59 MDW FORM 3039 (DATE)
Prescribed by 59 MDW 41-108

PREVIOUS EDITIONS ARE OBSOLETE

Page 2 of 3 Pages

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Warrior Medics – Mission Ready – Patient Focused

PROCESSING OF PROFESSIONAL MEDICAL RESEARCH/TECHNICAL PUBLICATIONS/PRESENTATIONS			
1st ENDORSEMENT (59 MDW/SGVU Use Only)			
TO: Clinical Research Division 59 MDW/CRD Contact 292-7141 for email instructions	25. DATE RECEIVED:	26. ASSIGNED PROCESSING REQUEST FILE #:	
27. DATE REVIEWED:	28. DATE FORWARDED TO 502 ISG/JAC:		
29. AUTHOR CONTACTED FOR RECOMMENDED OR NECESSARY CHANGES:		<input type="checkbox"/> NO	<input type="checkbox"/> YES If yes, give date: <input type="text"/>
30. COMMENTS		<input type="checkbox"/> APPROVED	<input type="checkbox"/> DISAPPROVED
31. PRINTED NAME, RANK/GRADE, TITLE OF REVIEWER		32. REVIEWER SIGNATURE	33. DATE
2nd ENDORSEMENT (502 ISG/JAC Use Only)			
34. DATE RECEIVED:	35. DATE FORWARDED TO 59 MDW/PA:		
36. COMMENTS		<input checked="" type="checkbox"/> APPROVED (In compliance with security and policy review directives.)	<input type="checkbox"/> DISAPPROVED
37. PRINTED NAME, RANK/GRADE, TITLE OF REVIEWER		38. REVIEWER SIGNATURE	39. DATE

Form 3039



Warrior Medics – Mission Ready – Patient Focused

3rd ENDORSEMENT (59 MDW/PA Use Only)	
40. DATE RECEIVED	41. DATE FORWARDED TO 59 MDW/SGVU:
42. COMMENTS	<input type="checkbox"/> APPROVED (In compliance with security and policy review directives.) <input type="checkbox"/> DISAPPROVED
43. PRINTED NAME, RANK/GRADE, TITLE OF REVIEWER	
44. REVIEWER SIGNATURE	
45. DATE	
4th ENDORSEMENT (59 MDW/SGVU Use Only)	
46. DATE RECEIVED	
47. SENIOR AUTHOR NOTIFIED BY PHONE OF APPROVAL OR DISAPPROVAL	
<input type="checkbox"/> YES	<input type="checkbox"/> NO <input type="checkbox"/> COULD NOT BE REACHED <input type="checkbox"/> LEFT MESSAGE
48. COMMENTS	<input type="checkbox"/> APPROVED <input type="checkbox"/> DISAPPROVED
49. PRINTED NAME, RANK/GRADE, TITLE OF REVIEWER	
50. REVIEWER SIGNATURE	
51. DATE	

Questions?



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